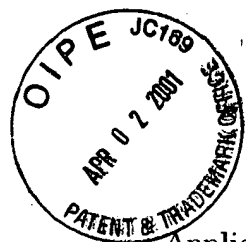


SAU 216L #4

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on March 28, 2001.

*Diane S. Zynn*  
Diane S. Zynn

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APR X 5 2001

Applicant : Craig L. Ogg  
Application No. : 09/690,796  
Filed : October 17, 2000  
Title : SECURE AND RECOVERABLE DATABASE FOR  
ON-LINE VALUE-BEARING ITEM SYSTEM  
Grp./Div. : 2161  
Examiner : To be assigned  
Docket No. : 39477/SAH/S850

Technology Center 2100

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INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
March 28, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

Raymond R. Tabandeh  
Reg. No. 43,945  
626/795-9900

RRT/mee

Enclosures: PTO-1449, w/18 references

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FORM PTQ-1449

## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number 39477/RRT/S850

Application Number 09/690,796

Filing Date October 17, 2000

Applicant(s) Craig L. Ogg

Group Art Unit 2161

Examiner Name To be assigned

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APR 5 2001

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## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,725,718	02/1988	Sansone et al.	235	495	
	4,743,747	05/1988	Fougere et al.	235	494	
	4,812,994	03/1989	Taylor et al.	364	464.02	
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EXAMINER  
SIGNATUREDATE  
CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

RRT/mee

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